



March 6, 2023

Lutz Kaesberg

Lumileds

Philipsstr. 8, Aachen, Aachen D-52068

Germany

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Dear **Lutz Kaesberg**,

Thank you for your participation in the Responsible Minerals Initiative's (RMI) Program for downstream companies. Companies eligible for the program are engaged in the tin, tantalum, tungsten, gold (3TG), cobalt or other metal/mineral supply chains, but do not meet the definition of a smelter or refiner as defined in the relevant RMAP Standards, and therefore are not eligible to undergo the Responsible Minerals Assurance Process assessment. The RMI developed this voluntary program in response to requests for a mechanism for downstream companies to obtain independent validation of their responsible 3TG and cobalt sourcing practices.

The program employs the OECD Due Diligence Guidance for Responsible Mineral Supply Chains from Conflict-Affected and High-Risk Areas (Guidance) to assess that, at a minimum:

- The company's due diligence system is designed in accordance with the Guidance;
- There is no reason to believe goods directly or indirectly financed or benefited armed groups that are perpetrators of serious human rights abuses in the DRC or an adjoining country; and
- All sources of 3TG are identified and confirmed to be:
 - Scrap or recycled material; and/or
 - Responsible Minerals Assurance Process (RMAP) or equivalent validated companies.

The program follows the ISO19011:2011 Standard for management systems assessments.

Lumileds, requested a Responsible Minerals Initiative Downstream Assessment, which took place on **08/16/2022** at the below operational location, where all materials are received:

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The assessment result is **Conformant**. It includes the following locations which were in-scope of this assessment and assessed virtually:

FACILITY NAME	CID#	FACILITY ADDRESS	ASSESSMENT DATE(S)
Lumileds Germany GmbH	CID004026	Philips Straße 8, 52068 Aachen, Germany	08/16/2022 - 08/16/2022
Lumileds (Jiaxing) Technology Co., Ltd.	CID004027	Building 4, No. 501, Rixin Road, Nanhu District, Jiaxing City, Zhejiang Province, China	08/16/2022 - 08/16/2022

Lumileds Poland S.A., Ul. 	CID004028	Partyzancka 66/72, PL 95-200 Pabianice, Poland	08/16/2022 - 08/16/2022
Lumileds Malaysia Sdn. 	CID004029	Bhd., Lebuh Kampong Jawa, Bayan Lepas FIZ Phase 3, Bayan Lepas 11900, Pulau Pinang, Malaysia	08/16/2022 - 08/16/2022
Lumileds LLC.	CID004030	W. Trimble Road 350 - 370, San Jose, CA 95131, USA	08/16/2022 - 08/16/2022
Lumileds Singapore Ptd Ltd	CID004031	190, Yishun Avenue 7, Singapore 768925, Singapore	08/16/2022 - 08/16/2022
Lumileds Technology Hubei Co. Ltd.	CID004032	17 Philips Avenue, Songzi City, Hubei Province, China	08/16/2022 - 08/16/2022

Companies who participate in the program receive an Assessment Summary Report that can be shared with interested customers or made public (confidential information redacted). RMI includes a public listing of conformant program participants online [here](#).